

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2001</h3> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		Complete If Known	
		Application Number	09/255,737
		Filing Date	February 23, 1999
		First Named Inventor	Jennifer MEAD
		Examiner Name	J. Bergin
		Group / Art Unit	2164
TOTAL AMOUNT OF PAYMENT		(\$)	330.00
		Attorney Docket No.	03797.77744

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																				
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 19-0733</p> <p>Deposit Account Name: BANNER & WITCOFF, LTD.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p style="text-align: center;"> <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other </p>				<p>3. ADDITIONAL FEES</p> <table style="width: 100%; font-size: x-small;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>920*</td><td>Requesting publication of SIF prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIF after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>130</td><td>130</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____</p> <p style="text-align: right;">*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)</p>				Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	65	Surcharge - late filing fee or oath		127	50	25	Surcharge - late provisional filing fee or cover sheet		139	130	130	Non-English specification		147	2,520	2,520	For filing a request for reexamination		112	920*	920*	Requesting publication of SIF prior to Examiner action		113	1,840*	1,840*	Requesting publication of SIF after Examiner action		115	110	55	Extension for reply within first month		116	390	195	Extension for reply within second month		117	890	445	Extension for reply within third month		118	1,390	695	Extension for reply within fourth month		128	1,890	945	Extension for reply within fifth month		119	310	155	Notice of Appeal		120	310	155	Filing a brief in support of an appeal		121	270	135	Request for oral hearing		138	1,510	1,510	Petition to institute a public use proceeding		140	110	55	Petition to revive - unavoidable		141	1,240	620	Petition to revive - unintentional		142	1,240	620	Utility issue fee (or reissue)		143	440	220	Design issue fee		144	600	300	Plant issue fee		122	130	130	Petitions to the Commissioner		123	130	130	Petitions related to provisional applications		126	180	180	Submission of Information Disclosure Stmt		581	40	40	Recording each patent assignment per property (times number of properties)		146	710	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710	355	Request for Continued Examination (RCE)		169	900	900	Request for expedited examination of a design application	
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SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Richard C. Irving	Registration No. Attorney/Agent	38,499	Telephone	(202) 508-9100
Signature				Date	September 6, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Jennifer Mead, et al.) Attn: Box Missing Parts
Serial No. 09/255,737)
Filed: February 23, 1999) Atty. Dkt. No. 03797.77744

For: **SYSTEM AND METHOD FOR PROVIDING
AUTOMATED INVESTMENT ALERTS
FROM MULTIPLE DATA SOURCES**

SUBMISSION OF EXECUTED DECLARATION AND FEES

The Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

Attached is the executed Declaration for Patent Application in compliance with the Notice to File Missing Parts of Application (copy enclosed) mailed March 17, 1999. Accordingly, it is respectfully submitted that this application is entitled to a filing date of February 23, 1999, the date upon which the specification and drawings were received by the U.S. Patent and Trademark Office.

A check covering the amount of \$1016.00 is attached for the application filing fee. The calculation is as follows:

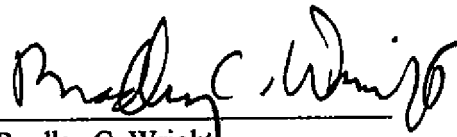
Basic Fee (total claims = 27)	\$760.00
Total Claims in Excess (7)	\$126.00
Surcharge for subsequent filing of executed Declaration	\$130.00
TOTAL FILING FEE	\$1,016.00

In the event any variance exists between the amount enclosed and the Patent Office charges,
please charge or credit any difference to our Deposit Account No. 19-0733.

Respectfully submitted,

Date: June 17, 1999

By:



Bradley C. Wright

Registration No. 38,061

Banner & Witcoff, Ltd.
1001 G Street, N.W., Eleventh Floor
Washington, D.C. 20001-4597
(202) 508-9100

BCW/pb